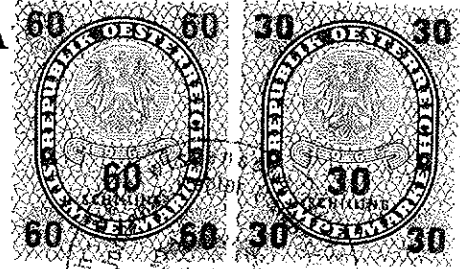


# Reducing the Complexity of the Knuth-Bendix Completion Algorithm: A "Unification" of Different Approaches

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## Abstract

The Knuth-Bendix completion procedure for rewrite rule systems is of wide applicability in symbolic and algebraic computation. Attempts to reduce the complexity of this completion algorithm are reported in the literature. Already in their seminal 1967 paper, D.E. Knuth and P.B. Bendix have suggested to keep all the rules interreduced during the execution of the algorithm. G. Huet has presented a version of the completion algorithm in which every rewrite rule is kept in reduced form with respect to all the other rules in the system. Borrowing an idea of Buchberger's for the completion of bases of polynomial ideals the author has proposed in 1983 a criterion for detecting "unnecessary" critical pairs. If a critical pair is recognized as unnecessary then one need not apply the costly process of computing normal forms to it. It has been unclear whether these approaches can be combined. We demonstrate that it is possible to keep all the rewrite rules interreduced and still use a criterion for eliminating unnecessary critical pairs.

## 1. Introduction

We assume familiarity with the basic notions of the theory of term rewriting systems and in particular with the Knuth-Bendix completion algorithm (see, for instance, [KB67], [Hu80]). The Knuth-Bendix completion algorithm (if it terminates successfully) solves the following problem:

given: a (finite) set of equations E over some term algebra T

find: a set of rewrite rules (directed equations) R over T such that

(C)

$$\equiv_E = \leftrightarrow_R^*$$

$\rightarrow_R$  is noetherian, and

$\rightarrow_R$  has the Church-Rosser property.

$\equiv_E$  is the equational congruence over T generated by the equations in E.  $\rightarrow_R$  denotes the reducibility in one step with respect to the rewrite rule system R, i.e.  $s \rightarrow_R t$  iff there is a rule  $u \rightarrow u'$  in R, such that for some occurrence p in s and for some substitution  $\sigma$  we have  $s/p = \sigma(u)$ ,  $t = s[p := \sigma(u')]$ . We write  $\leftarrow_R$ ,  $\rightarrow_R^+$ ,  $\rightarrow_R^-$ ,  $\leftrightarrow_R$ ,  $\leftrightarrow_R^*$  for the inverse relation, the

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transitive closure, the transitive-reflexive closure, the symmetric closure, and the symmetric-transitive-reflexive closure of  $\rightarrow_R$ , respectively. By  $\leq$  we denote the subsumption preorder (see [Hu80]).

$\rightarrow_R$  has the Church-Rosser property iff for all terms  $s$  and  $t$ : if  $s \leftarrow^* \rightarrow_R t$  then there is a term  $u$  such that  $s \rightarrow_R^* u \leftarrow^* t$ . The Church-Rosser property is equivalent to confluence and if  $\rightarrow_R$  is noetherian then confluence is equivalent to local confluence. If  $\rightarrow_R$  has the Church-Rosser property then we also say that  $R$  is a complete rewrite rule system.

Once we have a complete noetherian rewrite rule system  $R$  that solves the problem (C) for the given system of equations  $E$ , then we can decide  $\equiv_E : s \equiv_E t$  if and only if  $s$  and  $t$  have the same normal form with respect to  $\rightarrow_R$ .  $s'$  is a normal form of  $s$  with respect to  $\rightarrow_R$  if  $s \rightarrow_R^* s'$  and  $s'$  is irreducible with respect to  $\rightarrow_R$ .

The Knuth-Bendix algorithm proceeds by computing critical pairs of rewrite rules, reducing them to normal forms and checking these normal forms for syntactical equality. If the reduction results of a critical pair turn out to be different then they are combined to form a new rule, which is added to the rewrite system. This process might fail if the addition of such a newly derived rule destroys the noetherianity of the rewrite rule system.

Attempts to reduce the complexity of the Knuth-Bendix completion algorithm are reported in the literature. Already in their seminal paper [KB67] D.E. Knuth and P.B. Bendix have suggested to keep all the rules interreduced during the execution of the algorithm. G. Huet has presented a version of the algorithm in which every rewrite rule is kept in reduced form with respect to all the other rules in the system. A detailed correctness proof of this variant of the algorithm is given in [Hu81]. Borrowing an idea of Buchberger's [Bu79] for the completion of bases of polynomial ideals (Gröbner bases algorithm) the author has proposed in [WB83] a criterion for detecting "unnecessary" critical pairs. If a critical pair is recognized as unnecessary then we need not apply the costly process of computing normal forms to it. It has been unclear whether these approaches can be combined. In the subsequent chapters we show that this is possible.

For the completion algorithm in the case of polynomial ideals (Gröbner bases algorithm) the combination of mutual reduction and criteria for eliminating unnecessary critical pairs has been proposed by B. Buchberger in [Bu65], [Bu70], and [Bu85].

Besides being of importance in deciding equational theories, the Knuth-Bendix algorithm has also been proposed as a substitute for inductive proofs in the initial model of an equational theory by D.R. Musser [Mu80] and G. Huet and J.M. Hullot [HH80]. Hsiang [Hs82] uses the completion algorithm for refutation theorem proving. For further applications we refer to [De83].

## 2. The concept of connectedness and a generalized Newman lemma

In this section we describe the concept of connectedness (introduced in [WB83], see also [Wi84]) which leads to a generalized version of Newman's lemma [Ne42].

**Def. 2.1:** Let  $M$  be a set,  $>$  a partial ordering on  $M$ ,  $\rightarrow$  a binary relation on  $M$ . Then for every  $x, y$ , and  $z$  in  $M$  we define:  $x \leftarrow^* (\leq z) y$  iff there is a finite sequence  $u_1, \dots, u_n$  in  $M$  such that  $x \equiv u_1 \leftarrow u_2 \leftarrow \dots \leftarrow u_n \equiv y$  and  $u_i < z$  for all  $1 \leq i \leq n$ . (Read:  $x$  and  $y$  are connected below  $z$  with respect to  $>$  and  $\rightarrow$ .)

**Lemma 2.1** (generalized Newman Lemma, [WB83]): Let  $>$  be a noetherian partial ordering on the set  $M$  and  $\rightarrow$  a binary relation on  $M$  such that  $\rightarrow$  is contained in  $>$ . Then  $\rightarrow$  is confluent if and only if for all  $x, y, z$  in  $M$ : if  $x \leftarrow z \rightarrow y$  then  $x \leftarrow^* (\leq z) y$ .

The Newman Lemma reduces the question of confluence to the question of local confluence for noetherian reduction relations (see, for instance, the chapter on algebraic simplification in [BC83]). In the case of a reduction relation which is generated by a system of rewrite rules this means that one has to search for common successors for all the critical pairs. Quite frequently it is known from computations in previous steps of the completion algorithm that the two sides of a critical pair are connected below the term from which they are derived. In such situations the generalized Newman Lemma allows to omit the search for a common successor for this critical pair. Algorithms which are based on the generalized rather than on the conventional Newman Lemma have to use mechanisms for detecting that the two sides of a critical pair are connected. The subalgorithm NCP in Section 3 serves this purpose in our version of the completion algorithm.

### 3. The completion algorithm

Problem:

given:  $E$ , a finite set of equations (over some term algebra  $T$ )  
 $>$ , a reduction ordering (in the sense of [Wi84], Def. 3.19)

find:  $R$ , a (finite) set of rewrite rules such that

(C')  $\begin{aligned} &=_{\mathbf{E}} = \leftrightarrow_{\mathbf{R}}, \\ &\rightarrow_{\mathbf{R}} \text{ is contained in } >, \text{ and} \\ &\rightarrow_{\mathbf{R}} \text{ has the Church-Rosser property.} \end{aligned}$

We will consider a refined version of Huet's [Hu81] algorithm for completing a given system of rewrite rules. Instead of considering all critical pairs arising from a rule in an intermediate version  $R_1$  of the rewrite rule system, we add only "necessary" critical pairs to the set of equations  $E_1$ . The test, whether a critical pair is necessary or not, is incorporated in the subalgorithm NCP. The stated completion algorithm, contrary to the one in [Hu81], does not abort immediately if an equation  $s=t$  is encountered in  $E_1$  such that the normal forms of  $s$  and  $t$  w.r.t  $R_1$  are incomparable. We still have the option of choosing an other equation in  $E_1$ . Only when all the equations in  $E_1$  are reduced w.r.t.  $R_1$  and their left hand sides and right hand sides are incomparable the algorithm has to stop with failure.

COMPL ( $E$ ,  $>$ )

[Completion algorithm. If the algorithm stops successfully, then its output is a rewrite rule system  $R$  which solves (C').]

$E_0 := E$ ;  $R_0 := \{\}$ ;  $i := 0$ ;  $p := 0$ ;

LOOP

  WHILE  $E_i \neq \{\}$  DO

    IF all equations in  $E_i$  are marked THEN FAILURE

    ELSE  $s=t :=$  an unmarked equation in  $E_i$ ;

$s' :=$  a normal form of  $s$  w.r.t.  $\rightarrow_{R_i}$ ;

$t' :=$  a normal form of  $t$  w.r.t.  $\rightarrow_{R_i}$ ;

      IF  $s'=t'$

        THEN  $E_{i+1} := E_i - \{s=t\}$ ;

$R_{i+1} := R_i$ ;

        the equations in  $E_{i+1}$  and the rules in  $R_{i+1}$   
        are marked as they are in  $E_i$ ,  $R_i$ ;

$i := i+1$ ;

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ELSE IF  $s' > t'$  or  $t' > s'$ 
  THEN  $u := \max_{>}(s', t')$ ;
        $v := \min_{>}(s', t')$ ;
        $K :=$  set of labels  $k$  of rules in  $R_i$  whose left
           hand side  $s_k$  is reducible by  $u \rightarrow v$ , say to  $s_k'$ ;
        $E_{i+1} := E_i - \{s=t\} \cup \{s_k' = t_k \mid k: s_k \rightarrow t_k \text{ in } R_i, k \text{ in } K\}$ ;
       all the equations in  $E_{i+1}$  are unmarked;
        $p := p+1$ ;
        $R_{i+1} := \{j: s_j \rightarrow t_j' \mid j: s_j \rightarrow t_j \text{ in } R_i, j \text{ not in } K,$ 
            $t_j'$  is a normal form of  $t_j$  w.r.t  $R_i \cup \{u \rightarrow v\}\}$ 
            $\cup \{p: u \rightarrow v\}$ ;
       the rules coming from  $R_i$  are marked or unmarked
       as they were in  $R_i$ , the new rule  $p: u \rightarrow v$  is unmarked;
        $i := i+1$ ;
ELSE  $E_{i+1} := E_i - \{s=t\} \cup \{s' = t'\}$ ;
  the equation  $s' = t'$  is marked;
   $R_{i+1} := R_i$ ;
  the rules in  $R_{i+1}$  are marked or unmarked as they were in  $R_i$ ;
   $i := i+1$ ;

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ENDWHILE;

IF all rules in  $R_i$  are marked THEN RETURN  $R_i$

ELSE  $k: s_k \rightarrow t_k :=$  an unmarked rule in  $R_i$ ;

$E_{i+1} :=$  NCP ( $R_i, k$ ), i.e., the set of necessary critical pairs computed between rule  $k$  and any rule of  $R_i$  of label not greater than  $k$ ;

all equations in  $E_{i+1}$  are unmarked;

$R_{i+1} := R_i$ ;

the rules in  $R_{i+1}$  are marked or unmarked as they were in  $R_i$ , except that rule  $k$  is marked in  $R_{i+1}$ ;

$i := i+1$ ;

ENDLOOP  $\square$

For computing the necessary critical pairs we use the following algorithm:

$CP \leftarrow$  NCP( $R_i, k$ )

[compute set of necessary critical pairs in  $R_i$  between rule  $k$  and any rule of label not greater than  $k$ ]

$CP := \{\}$ ;

$S :=$  set of rules in  $R_i$  with label not greater than  $k$ ;

$S' := S$ ;

$j := 0$ ;

WHILE  $S' \neq \{\}$  DO

$j := j+1$ ;

select  $l: s_l \rightarrow t_l$  in  $S'$ ;

FOR  $p$  an occurrence in  $s_k$ ,  $s_k/p$  not a variable,  $s_k/p$  and  $s_l$  unifiable DO

$\sigma :=$  most general unifier of  $s_k/p$  and  $s_l$ ;

IF there are no  $m: s_m \rightarrow t_m$  in  $S - S'$ ,  $q$  prefix of  $p$ , such that

$s_m \ll \sigma(s_k)/q$  and

(( $m$  is marked and  $m \geq l$ ) or ( $l$  is marked and  $l \geq m$ ))

THEN  $CP := CP \cup \{\sigma(t_k) = \sigma(s_k[p := t_l])\}$ ;

FOR  $p$  an occurrence in  $s_l$ ,  $s_l/p$  not a variable,  $s_l/p$  and  $s_k$  unifiable DO  
 $\sigma :=$  most general unifier of  $s_l/p$  and  $s_k$ ;  
 IF there are no  $m:s_m \rightarrow t_m$  in  $S-S'$ ,  $q$  prefix of  $p$ , such that  
 $s_m \not\leftarrow \sigma(s_l)/q$  and  
 $((m \text{ is marked and } m \geq l) \text{ or } (l \text{ is marked and } l \geq m))$   
 THEN  $CP := CP \cup \{\sigma(t_l) = \sigma(s_k[p:=t_k])\}$ ;  
 $S' := S' - \{l:s_l \rightarrow t_l\}$ ;  
 ENDWHILE;  
 RETURN  $CP \square$

As in [Hu81] we adopt the fairness of selection hypothesis:

for every rule label  $k$  there is an iteration  $i$  such that either the rule of label  $k$  is deleted from  $R_i$  (i.e.  $k$  in  $K$  at iteration  $i$ ), or the rule of label  $k$  is selected at "compute necessary critical pairs" (i.e. as argument for NCP).

As Huet points out, this hypothesis will usually be met in practice. Essentially it requires that the selection process takes into account the "age" of the rules.

Before we can go on and prove the correctness of this version of the completion algorithm we have to introduce some notation (suggested in [Hu81]).

$R := \cup R_i$ .  $R_\infty := \{k:s \rightarrow t \mid \exists i \forall j \geq i k:s \rightarrow t \text{ in } R_j\}$ .  
 $i \geq 0$

$R_\infty$  is called the limit rewriting system.

$R_\infty$  may be infinite.  $R_\infty \subseteq R$ . If the completion algorithm stops with success at iteration  $i$ , then  $R_\infty = R_i$ .

#### 4. Correctness of the completion algorithm

If we suppose that the completion algorithm does not stop with failure, then we can show as in [Hu81] (corollary to Lemma 1, Lemma 3, corollary to Lemma 3, corollary to Lemma 4):

**Lemma 4.1:**

- (a) Every term  $s$  which is reducible by  $R_i$  is also reducible by every  $R_j$ , with  $j \geq i$ .
- (b)  $\forall i \geq 0 \forall s=t \text{ in } E_i \exists u (s \rightarrow_R^* u \text{ and } t \rightarrow_R^* u)$ .
- (c)  $\leftarrow_R^* = =_E$ .
- (d)  $R_\infty$  is in reduced form, i.e. no rule  $s \rightarrow t$  in  $R_\infty$  is reducible by the other rules in  $R_\infty$ .

**Lemma 4.2:** For all critical pairs  $\sigma(t_k), \sigma(s_k[p:=t_l])$  derived from rules in  $R$  we have

$$\sigma(t_k) \leftarrow_R^* (\leftarrow \sigma(s_k)) \sigma(s_k[p:=t_l]).$$

**Proof:**

We prove the lemma by noetherian induction on the ordering  $\gg^2$  of the set of all pairs of terms.

$$(s,t) \gg^2 (s',t') \text{ iff } s \gg s' \text{ and } (t \gg t' \text{ or } t = t')$$

or

$$(s \gg s' \text{ or } s = s') \text{ and } t \gg t'.$$

$\gg$  is the containment ordering defined in [Hu81]:  $s \gg t$  iff  $s$  contains  $t$  (i.e. some subterm of  $s$  is a substitution instance of  $t$ ) and  $t$  does not contain  $s$ .

$\gg$  is a well-founded ordering, and so is  $\gg^2$ .

Induction hypothesis 1:

for all  $s \rightarrow t, s' \rightarrow t'$  in  $R$  such that  $(\bar{s}, \bar{s}') \gg^2 (s, s')$ :  
 if  $\sigma(t), \sigma(s[p:=t'])$  is a critical pair derived from unifying  $s/p$  and  $s'$  by the most general unifier  $\sigma$  then  
 $\sigma(t) \leftarrow_R^* (<\sigma(s)) \sigma(s[p:=t'])$ .

For every pair of rewrite rules  $k:s_k \rightarrow t_k, l:s_l \rightarrow t_l$  in  $R$  (w.l.o.g.  $k \geq l$ ) there exists, because of the fairness of selection hypothesis, an iteration  $i$  in the completion algorithm such that either

(a) the rule  $k$  is selected for computing critical pairs and  $s_k$  and  $s_l$  have not been reduced in previous iterations

or

(b) the left hand side of one of the rules  $k, l$  is reduced.

Denote this iteration  $i$  by  $it(s_k \rightarrow t_k, s_l \rightarrow t_l)$ . We induct on  $i$ .

Induction hypothesis 2:

for all  $s \rightarrow t, s' \rightarrow t'$  in  $R$  such that  $(s, s') = (\bar{s}, \bar{s}')$  and  $it(s \rightarrow t, s' \rightarrow t') < i$ :  
 if  $\sigma(t), \sigma(s[p:=t'])$  is a critical pair derived from unifying  $s/p$  and  $s'$  by the most general unifier  $\sigma$  then  
 $\sigma(t) \leftarrow_R^* (<\sigma(s)) \sigma(s[p:=t'])$ .

Now consider two rules in  $R$

$k:s_k \rightarrow t_k, l:s_l \rightarrow t_l$

such that  $(s_k, s_l) = (\bar{s}, \bar{s}')$  and  $it(s_k \rightarrow t_k, s_l \rightarrow t_l) = i$ .

Case (a): assume  $k$  greater or equal to  $l$  ( $k$  less than  $l$  is handled analogously).

So at the  $i$ -th iteration the rule  $k$  is selected for computing critical pairs and  $s_k, s_l$  have not been reduced in previous iterations. Thus, in  $R_i$  we have rules  $k:s_k \rightarrow t_k', l:s_l \rightarrow t_l'$ , where  $t_k \rightarrow_R^* t_k'$  and  $t_l \rightarrow_R^* t_l'$ . The algorithm for computing necessary critical pairs is called with the input  $R_i$  and  $k$ . During the execution of this algorithm the rule  $l$  will be selected from  $S'$  at some iteration  $j$ .

We induct on  $j$ .

$j=1: S=S',$  so  $\sigma(t_k') = \sigma(s_k[p:=t_l'])$  is added to CP and hence to  $E_{i+1}$ .

By Lemma 4.1 (b) there exists a term  $u$  such that

$\sigma(t_k') \rightarrow_R^* u \leftarrow_R^* \sigma(s_k[p:=t_l'])$ .

So  $\sigma(t_k') \rightarrow_R^* u \leftarrow_R^* \sigma(s_k[p:=t_l])$ .

See Figure 1.

Induction hypothesis 3:

for all  $j'$  less than  $j$ :

if  $m:s_m \rightarrow t_m$  is selected from  $S'$  at step  $j'$  and  $u, u'$  is a critical pair between the rules  $k$  and  $m$  derived from  $v$ , then  $u \leftarrow_R^* (<v) u'$ .

$j > 1$ : if the considered critical pair turns out to be necessary, then we can show  $\sigma(t_k') \leftarrow_R^* (<\sigma(s_k)) \sigma(s_k[p:=t_l])$  as in the induction basis. Otherwise there exists a rule  $m:s_m \rightarrow t_m$  in  $S-S'$ , and a prefix  $q$  of  $p$  ( $p=q \cdot q'$ ) such that  $s_m \triangleleft \sigma(s_k)/q$  and rule  $m$  is marked and  $m$  is greater or equal to  $l$  or rule  $l$  is marked and  $l$  is greater or equal to  $m$ . Let  $\psi$  be such that  $\psi(s_m) = \sigma(s_k)/q$ . Then  $\sigma(t_k')$  and  $\sigma(s_k)[q:=\psi(t_m)]$  are the substitution instance of a critical pair between the rules  $k$  and  $m$  (see Proposition 3.7 in [Hu80]) and by the induction hypothesis 3 we have

$\sigma(t_k') \leftarrow_R^* (<\sigma(s_k)) \sigma(s_k)[q:=\psi(t_m)]$ .

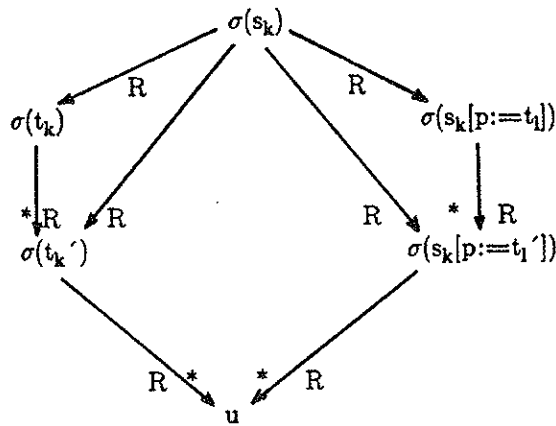


Figure 1

If  $q'$  is an occurrence of  $s_m$  and  $s_m/q'$  is not a variable then  $\psi(t_m)$  and  $\psi(s_m)[q' := \sigma(t_1')] = \sigma(s_k/q [q' := t_1'])$  are substitution instances of a critical pair between the rules  $m$  and  $l$  (see Proposition 3.7 in [Hu80]) and by the induction hypothesis 2 we have

$$\psi(t_m) \xleftrightarrow{*R} (<\sigma(s_k)/q) \sigma(s_k/q [q' := t_1']) \quad (*)$$

Otherwise, by an argument already used in [KB67], one can show that the two sides of (\*) have a common successor. So,

$$\sigma(s_k)[q := \psi(t_m)] \xleftrightarrow{*R} (<\sigma(s_k)) \sigma(s_k[p := t_1']),$$

and therefore

$$\sigma(t_k) \xleftrightarrow{*R} (<\sigma(s_k)) \sigma(s_k[p := t_1]).$$

See Figure 2.

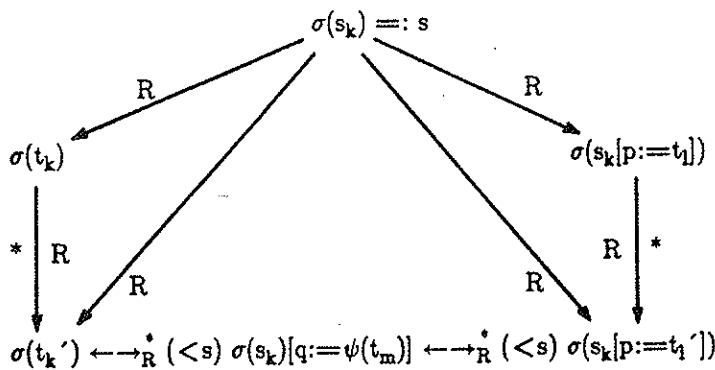


Figure 2

Case (b): The left hand side of at least one of the rules  $k$  and  $l$  is reduced in the iteration  $i$  of

the completion algorithm.

So there are rules  $m: s_m \rightarrow t_m$ ,  $n: s_n \rightarrow t_n$  in  $R$  such that  $(s_k, s_l) \gg^2 (s_m, s_n)$ . Let  $(s_m, s_n)$  be maximal under this condition. Let  $s_k/q = \psi(s_m)$ ,  $s_l/q' = \phi(s_n)$ . If  $s_k = s_m$  then  $q = \Lambda$ ,  $\psi = \{\}$  and therefore trivially

$$\sigma(t_k') \leftarrow^*_{R} (\langle \sigma(s_k) \rangle \sigma(s_k[q := \psi(t_m)]))$$

where  $t_k \rightarrow^*_R t_k'$ .

Otherwise  $s_k[q := \psi(t_m)] = t_k'$  is added to  $E_{i+1}$  at the iteration  $i$  and therefore, by Lemma 4.1 (b)

$$\sigma(t_k') \leftarrow^*_{R} (\langle \sigma(s_k) \rangle \sigma(s_k[q := \psi(t_m)]))$$

Similarly we see that

$$\sigma(s_k[p, q' := \phi(t_n)]) \leftarrow^*_{R} (\langle \sigma(s_k) \rangle \sigma(s_k[p := t_l']))$$

Furthermore,

$$\sigma(s_k[q := \psi(t_m)]) \leftarrow^*_{R} (\langle \sigma(s_k) \rangle \sigma(s_k[p, q' := \phi(t_n)]))$$

since both sides result from a critical pair between rules  $m$  and  $n$  (so the induction hypothesis 1 applies) or it can be shown by an argument used in [KB67] that they have a common successor.

See Figure 3.

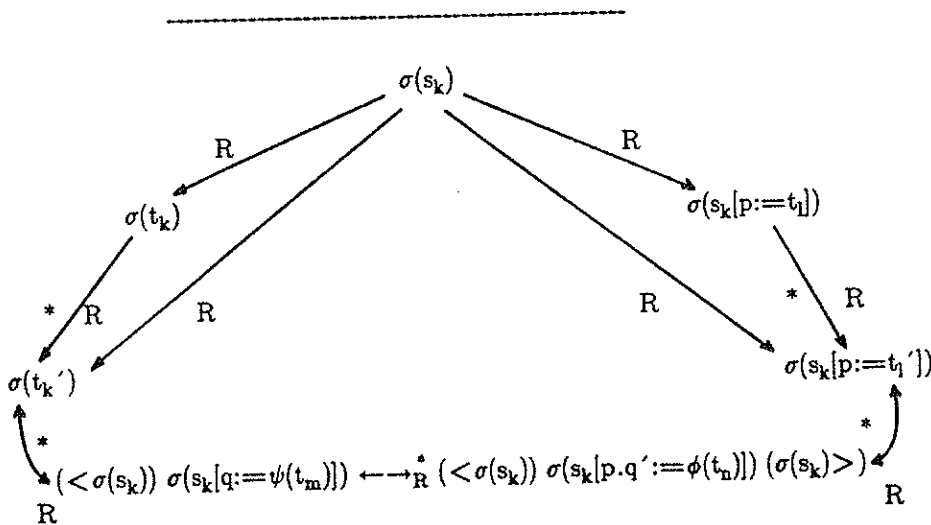


Figure 3

□

Using Lemma 4.2 and Huet's Lemma 3.1 [Hu80] we can show (see [Wi85])

**Lemma 4.3:** For all terms  $s, u_1, u_2$  such that  $u_1 \leftarrow_{R_\infty} s \rightarrow_{R_\infty} u_2$  we have  $u_1 \leftarrow^*_{R} (\langle s \rangle u_2)$ .

Now we can prove a lemma similar to Lemma 6 of [Hu81]. This Lemma provides the basis for showing the confluence of  $R_\infty$  and  $R$ .



**Lemma 4.4:** For every term  $s$ :

- (a) for all  $t$  such that  $s \rightarrow_R^+ t$  there exists a term  $u$  such that  $s \rightarrow_{R_\infty}^+ u \leftarrow_{R_\infty}^* (<s) t$
- (b) for all  $t_1, t_2$  such that  $t_1 \leftarrow_{R_\infty}^+ s \rightarrow_{R_\infty}^+ t_2: t_1 \leftarrow_{R_\infty}^* (<s) t_2$
- (c) for all  $t_1, t_2$  such that  $t_1 \leftarrow_R^+ s \rightarrow_R^+ t_2: t_1 \leftarrow_{R_\infty}^* (<s) t_2$ .

**Proof:**

We show simultaneously (a), (b), and (c) by noetherian induction on the reduction ordering  $>$ .

**Induction hypothesis:**

for all terms  $s'$  such that  $s > s'$  (a),(b), and (c) hold.

*ad (a):* Let  $s_1$  be such that  $s \rightarrow_R s_1 \rightarrow_R^+ t$ , with  $k:r_1 \rightarrow r_2$  the rule of  $R$  used to reduce  $s$  to  $s_1$ . We use induction on  $r_1$ , w.r.t. the well-founded order  $>>$ . There are two cases.

**Case 1:** There exists a rule with label  $k$  in  $R_\infty$ , say  $k:r_1 \rightarrow r_2'$ , with  $r_2 \rightarrow_R r_2'$ .

This implies that for some  $u$  we have  $s \rightarrow_{R_\infty} u$  and  $s_1 \rightarrow_R^+ u$ . By induction hypothesis (c) applied to  $s_1$  we get  $u \leftarrow_{R_\infty}^* (\leq s_1) t$ , and therefore  $u \leftarrow_{R_\infty}^* (<s) t$ . (Here " $\leq$ " denotes the partial order  $s \leq t$  iff  $s < t$  or  $s = t$ .)

See Figure 4.

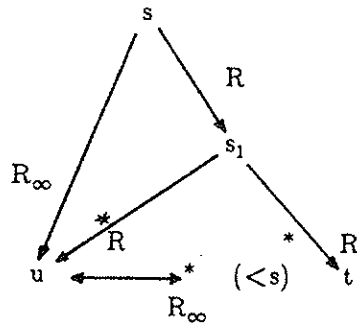


Figure 4

**Case 2:** The rule with label  $k$  gets reduced on its left hand side at some iteration  $i$ .

That is, there is in  $R_i$  some  $k:r_1 \rightarrow r_2'$ , with  $r_2 \rightarrow_R r_2'$ , such that  $r_1$  is reducible, say to  $r_1'$ , by the newly introduced rule  $r_1'' \rightarrow r_2''$ . By the compatibility and stability of the reduction,  $s$  is reducible by  $r_1'' \rightarrow r_2''$  to say  $s_1'$ , and the reduction  $r_2 \rightarrow_R r_2'$  corresponds to a reduction  $s_1 \rightarrow_R s_2$ . Now we have  $r_1' = r_2'$  in  $E_{i+1}$ , and by Lemma 4.1 (b) we get  $s_1' \rightarrow_R s_3 \leftarrow_R s_2$  for some  $s_3$ . Using the induction hypothesis (c) at  $s_1$  we get  $s_3 \leftarrow_{R_\infty}^* (\leq s_1) t$ , so  $s_3 \leftarrow_{R_\infty}^* (<s) t$ . Since  $r_1 >> r_1''$  we may apply the induction hypothesis (a) to the reduction  $s \rightarrow_R s_1' \rightarrow_R s_3$ , which gives us a term  $u$  such that  $s \rightarrow_{R_\infty}^+ u \leftarrow_{R_\infty}^* (<s) s_3$ , and therefore  $s \rightarrow_{R_\infty}^+ u \leftarrow_{R_\infty}^* (<s) t$ . See Figure 5.

*ad (b):* Let  $s_1$ , and  $s_2$  be such that

$$t_1 \leftarrow_{R_\infty}^* s_1 \leftarrow_{R_\infty} s \rightarrow_{R_\infty} s_2 \rightarrow_{R_\infty}^* t_2.$$

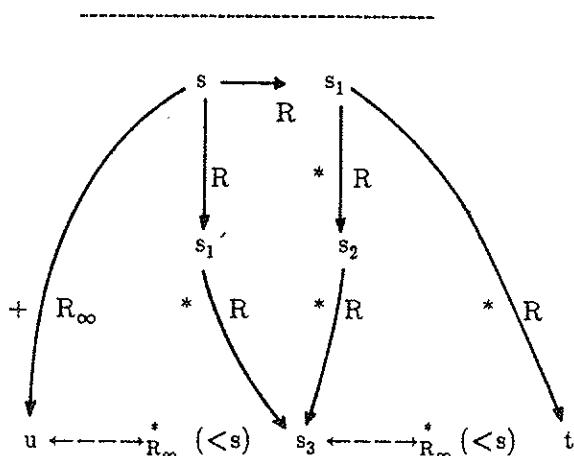


Figure 5

By Lemma 4.3 we have  $s_1 \xleftrightarrow{R}^* (< s) s_2$ .

I.e. there are  $u_1, \dots, u_n$  such that

$$s_1 = u_1 \xleftrightarrow{R} u_2 \xleftrightarrow{R} \dots \xleftrightarrow{R} u_n = s_2 \text{ and } u_i < s \text{ for } 1 \leq i \leq n.$$

We show  $(*) u_1 \xleftrightarrow{R_\infty}^* (< s) u_n$  by induction on  $n$ .

$n=1$ : clear.

Induction hypothesis 2:  $(*)$  holds for  $\bar{n}$ .

Now let  $u_1 \xleftrightarrow{R} u_2 \xleftrightarrow{R} \dots \xleftrightarrow{R} u_{\bar{n}} \xleftrightarrow{R} u_{\bar{n}+1}$  and  $u_i < s$  for  $1 \leq i \leq \bar{n}+1$ . By induction hypothesis 2 we have  $u_1 \xleftrightarrow{R_\infty}^* (< s) u_{\bar{n}}$ . If  $u_{\bar{n}} \xrightarrow{R} u_{\bar{n}+1}$  then by induction hypothesis (a) there exists a term  $u$  such that  $u_{\bar{n}} \xrightarrow{R_\infty}^+ u \xleftrightarrow{R_\infty}^* (< s) u_{\bar{n}+1}$ . If  $u_{\bar{n}+1} \xrightarrow{R} u_{\bar{n}}$  then by induction hypothesis (a) there exists a term  $u$  such that  $u_{\bar{n}+1} \xrightarrow{R_\infty}^+ u \xleftrightarrow{R_\infty}^* (< s) u_{\bar{n}}$ . In either case we have  $u_1 \xleftrightarrow{R_\infty}^* (< s) u_{\bar{n}+1}$ .

So,  $s_1 \xleftrightarrow{R_\infty}^* (< s) s_2$ , and therefore  $t_1 \xleftrightarrow{R_\infty}^* (< s) t_2$ . See Figure 6.

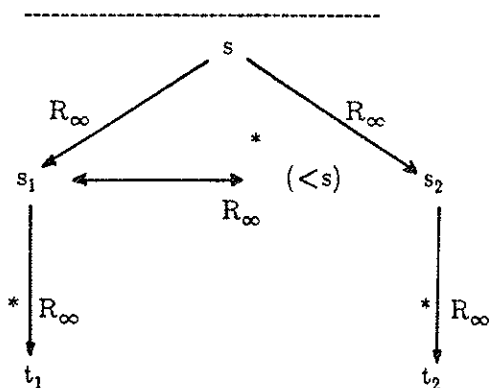


Figure 6

ad (c): Straightforward application of (a) and (b). See Figure 7.

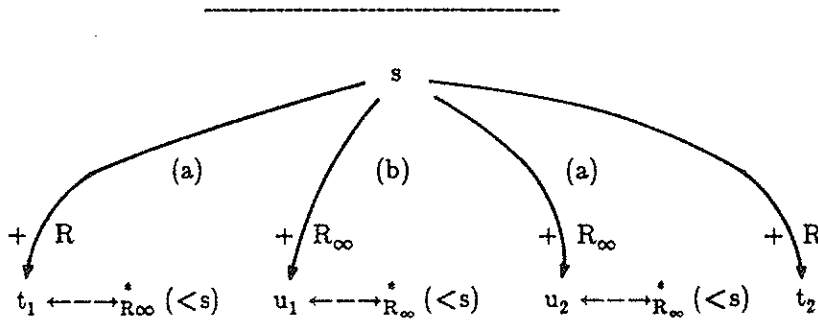


Figure 7

□

The generalized Newman Lemma (Lemma 2.1) together with Lemma 4.1 and Lemma 4.4 yield the desired result (see [Wi85]).

#### Theorem 4.5:

Both  $\rightarrow_R$  and  $\rightarrow_{R_\infty}$  have the Church-Rosser property and  $\leftrightarrow_{R_\infty}^* = =_E$ .

#### Conclusion

As we have pointed out in the introduction, it is possible to keep the system of rewrite rules interreduced during the execution of the Knuth-Bendix completion algorithm and simultaneously apply a criterion for eliminating unnecessary critical pairs. Such a version of the completion algorithm is given in Section 3. The criterion is incorporated in the subalgorithm for computing necessary critical pairs. Theorem 4.5 and 4.6 show the correctness of this version of the completion algorithm.

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